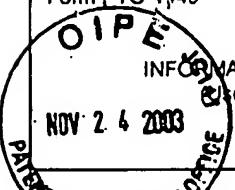


Form PTO-1449  NOV 24 2003 	US Dept. of Commerce PATENT & TRADEMARK OFFICE		ATTY DOCKET NO. D/A3162	APPLICATION NO. 10/656,439
	INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)		APPLICANT Diana K. Smetters et al.	
			FILING DATE 9/5/2003	GROUP ART UNIT 2132

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE	CLASS	SUB CLASS
SL	5,519,778	5/21/1996	Leighton et al.	380	30
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## FOREIGN PATENT DOCUMENTS

	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	TRANSLATION Y/N

## OTHER DOCUMENTS (Including Author (in CAPS), Title, Publication Date, Pages, etc.)

SL	U. S. Provisional Patent Application No. 60/480,909 entitled "Methods and Apparatus for Establishing and Using a Secure Credential Infrastructure " to Diana K. Smetters et al., filed June 24, 2003.
SL	U.S. Patent Application No. 10/066,699 entitled "Systems and Methods for Authenticating Communications in a Network Medium" to Dirk Balfanz et al., filed February 6, 2002.
SL	U.S. Patent Application No. 10/231,194 entitled "Apparatus and Methods for Providing Secured Communication" to Dirk Balfanz et al., filed August 30, 2002.

EXAMINER /Samson Lemma/

DATE CONSIDERED 09/18/2006

Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

## FOREIGN PATENT DOCUMENTS

	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	TRANSLATION Y/N

**OTHER DOCUMENTS (Including Author (in CAPS), Title, Publication Date, Pages, etc.)**

SL	BARDRAM, JAKOB E. ET AL. "Context-Aware User Authentication-Supporting Proximity-Based Login in Pervasive Computing", A.K. Dey et al. (Eds.): UbiComp 2003, LNCS 2864, pp. 107-123, 2003.
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SI	KINDBERG, TIM and ZHANG, KAN "Secure Spontaneous Device Association", A.K. Dey et al. (Eds.): UbiComp 2003, LNCS 2864, pp. 124-131, 2003.
SL	KINDBERG, TIM and ZHANG, KAN "Validating and Securing Spontaneous Associations between Wireless Devices" HP Laboratories, Palo Alto, HPL-2002-256, Hewlett-Packard Company, September 12, 2002.
SI	"ACC: Automatic Cryptographic Configuration of Embedded Devices" XML Trust Center White Paper 19 <sup>th</sup> February 2002, <a href="http://research.verisign.com/Papers/ACC1.html">http://research.verisign.com/Papers/ACC1.html</a>

**EXAMINER** /Samson Lemma/

**DATE CONSIDERED** 09/18/2006

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Form PTO-1449	US Dept. of Commerce PATENT & TRADEMARK OFFICE	ATTY DOCKET NO. A3162-US-NP	APPLICATION NO. 10/656,439
<p style="text-align: center;">INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)</p> <p>OCT 21 2004</p> <p>PATENT &amp; TRADEMARK OFFICE</p>		APPLICANT	
		Diana K. Smetters et al.	
		FILING DATE 9/5/03	GROUP ART UNIT 2131 2132

U.S. PATENT DOCUMENTS					
EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE	CLASS	SUB CLASS
SL	5,408,250	04/18/1995	Bier	345	169
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SL	US 2004/0103280 A1	05/27/2004	Balfanz et al.	713	169

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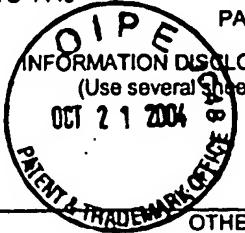
	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	TRANSLATION Y/N
SL	WIPO	WO 99/41876	08/19/1999	Telefonaktiebolaget LM Ericsson	Y

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SL	DRIDI, F. ET AL., "How to Implement Web-Based Groupware Systems Based on WebDAV," Published in Proc. of WETICE 99, IEEE 8th Intl. Workshops on Enabling Technologies: Infrastructure for Collaborative Enterprises, Stanford, CA, pp. 1-7 (1999).
SL	FIELDING, R. ET AL., "Web-Based Development of Complex Information Products," Communications of the ACM, Vol. 41, No. 8, pp. 84-92 (1998).
SL	LOPES, D. ET AL., "Aerial Acoustic Communication," IEEE Workshop on Applications of Signal Processing to Audio and Acoustics, pp. 21-24, (2001).

EXAMINER  /Samson Lemma/	DATE CONSIDERED  09/18/2006
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Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449  US Dept. of Commerce PATENT & TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) OCT 21 2004		ATTY DOCKET NO. A3162-US-NP	APPLICATION NO. 10/656,439
		APPLICANT Diana K. Smetters et al.	
		FILING DATE 9/5/03	GROUP ART UNIT 2131 2132
OTHER DOCUMENTS (Including Author (in CAPS), Title, Publication Date, Pages, etc.)			
SL	SCHNEIER, BRUCE: "Applied Cryptography: Protocols, Algorithms, and Source Code in C" 1996, John Wiley & Sons, New York, US, Section 8.3 "Transferring Keys"		
SL	STAJANO, F. AND ANDERSON, R.: "The Resurrecting Duckling: Security Issues for Ad-hoc Wireless Networks" 1999, AT&T Software Symposium, 'Online!' 15 September 1999 (1999-09-15)		
SL	WHITEHEAD, JR., E. ET AL., "WebDAV, A Network Protocol for Remote Collaborative Authoring on the Web, " pp. 1-21 (1999) [Retrieved from the Internet at <a href="http://citeseer.nj.nec.com/whitehead99webdav.html">http://citeseer.nj.nec.com/whitehead99webdav.html</a> on March 11, 2003].		
SL	WHITEHEAD, JR., E. ET AL., "Lessons from WebDAV for the Next Generation Web Infrastructure," Department of Information and Computer Science, University of California [Retrieved from the Internet at <a href="http://www.ics.uci.edu/~ejw/http-future/Whitehead/http_pos_paper.html">http://www.ics.uci.edu/~ejw/http-future/Whitehead/http_pos_paper.html</a> on September 20, 2002].		
EXAMINER <i>/Samson Lemma/</i>		DATE CONSIDERED 09/18/2006	
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of | 2

***Complete If Known***

Application Number	10/656,439
Filing Date	September 5, 2003
First Named Inventor	Diana K. Smetters
Art Unit	213T 2132
Examiner Name	<u>Not Yet Assigned</u> SAMSON LEMMA
Attorney Docket Number	A3162-US-NP

## **U.S. Patent Documents**

## **Foreign Patent Documents**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
SL		EP-1024626-A1	08/02/2000	International Business Machines Corporation	_____	<input type="checkbox"/>
SL		EP-1335563-A2	08/13/2003	Xerox Corporation	_____	<input type="checkbox"/>
SL		WO-01/17425-A2	03/15/2001	Tensys Medical, Inc.	_____	<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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APR 18 2005

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete If Known**

Application Number	10/656,439
Filing Date	September 5, 2003
First Named Inventor	Diana K. Smetters
Art Unit	-2131 2132
Examiner Name	Not Yet Assigned SAMSON LEMMA
Attorney Docket Number	A3162-US-NP

Sheet **2** of **2****Non Patent Literature Documents**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
SL		European Search Report for EPO counterpart Application No. 04255294, March 21, 2005.	<input type="checkbox"/>
			<input type="checkbox"/>

Examiner Signature	/Samson Lemma/	Date Considered	09/18/2006
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<sup>1</sup>Applicant's unique citation description number (optional). <sup>2</sup>Applicant is to place a check mark here if English Translation is attached.

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